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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Peter Newman and Pawan Goyal  
APPLICATION NO.: 09/526,980  
FILING DATE: March 15, 2000  
TITLE: Enabling a Service Provider to Provide Intranet Services  
EXAMINER: David Y. Eng  
GROUP ART UNIT: 2155  
ATTY. DKT. NO.: 21816-04467

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 5-5-05

By: Sabra-Anne Truesdale, Reg. No. 55,687

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P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing

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**PATENT**

date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR

☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:

☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR

☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;

☒ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:

☒ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;

☒ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated

PATENT

under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

☒ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR

☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

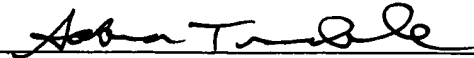
☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

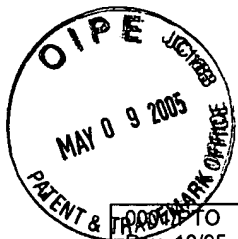
☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
Peter Newman and Pawan Goyal

Dated: 5-5-05

By:   
Sabra-Anne Truesdale, Reg. No. 55,687  
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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<p><b>TRANSMITTAL FORM</b></p> <p><i>(to be used for all correspondence during pendency of filed application)</i></p>		Application Number	<b>09/526,980</b>
		Filing Date	<b>March 15, 2000</b>
		First Named Inventor	<b>Peter Newman</b>
		Group Art Unit Number	<b>2155</b>
		Examiner Name	<b>David Y. Eng</b>
Total Number of Pages in This Submission	<b>7</b>	Attorney Docket Number	<b>21816-04467</b>

**ENCLOSURES** (check all that apply)

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)           | <input type="checkbox"/> Issue Fee Transmittal                                      |
| <input checked="" type="checkbox"/> Check Enclosed                                | <input type="checkbox"/> Letter to Chief Draftsperson                               |
| <input checked="" type="checkbox"/> Return Receipt Postcard                       | <input type="checkbox"/> Formal Drawing(s):   |
| <input type="checkbox"/> Response to Notice to File Missing Parts                 | <input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ]                              |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet                     | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Declaration  | <input type="checkbox"/> Appeal Communication to Group                              |
| <input type="checkbox"/> Power of Attorney  | <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief)                        |
| <input type="checkbox"/> Application Data Sheet                                   | <input type="checkbox"/> Certified Copy of Priority Document(s)                     |
| <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A | <input type="checkbox"/> After Allowance Communication to Group                     |
| <input type="checkbox"/> Copies of IDS Cited References                           | <input type="checkbox"/>  |
| <input type="checkbox"/> Request for Corrected Filing Receipt                     | <input type="checkbox"/>  |
| <input type="checkbox"/> Request for Correction of Recorded Assignment            | <input type="checkbox"/>  |
| <input type="checkbox"/> Amendment/Response: [ ] Page(s)                          | <input type="checkbox"/>  |
| <input type="checkbox"/> After Final  | <input type="checkbox"/>  |
| <input type="checkbox"/> Status Request   | <input type="checkbox"/>  |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney              | <input type="checkbox"/>  |

REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

**Signature:**

Attorney/Reg. No.:

**Sabra-Anne Truesdale, Reg. No. 55,687**

**Dated:**

5-5-05

## CERTIFICATE OF MAILING

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**Signature:**

Typed or Printed Name:

**Sabra-Anne Truesdale**

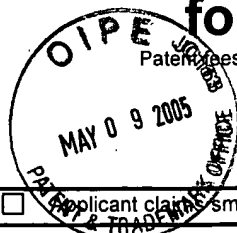
**Dated:**

5-5-05

Express Mail Mailing Number (optional):

**for FY 2005**

Patent fees are subject to annual revision.



☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 180.00

**Complete if Known**

Application Number	09/526.980
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Filing Date	March 15, 2000
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First Named Inventor	Peter Newman
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Examiner Name	David Y. Eng
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Art Unit	2155
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Attorney Docket No. 21816-04467

**METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name	Fenwick & West LLP
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**The Commissioner is authorized to:** *(check all that apply)*

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

## Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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<b>SUBTOTAL (1)</b>	<b>(\$)</b>
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## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent					<input type="text"/>	= <input type="text"/>

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Expense Recognition</b>	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. <b>Asset Recognition</b>	Assets are recognized when the company has control over the resource and the resource is expected to provide future economic benefits.	Assets are recognized when the company has control over the resource and the resource is expected to provide future economic benefits.
4. <b>Liability Recognition</b>	Liabilities are recognized when the company has an obligation to transfer resources in the future.	Liabilities are recognized when the company has an obligation to transfer resources in the future.
5. <b>Equity Recognition</b>	Equity is recognized as the residual interest in the assets of the company after deducting liabilities.	Equity is recognized as the residual interest in the assets of the company after deducting liabilities.

<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Description</u>
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2203	180	Multiple dependent claim, if not paid
1204	200	2204	100	**Reissue independent claims over original patent
1205	50	2205	25	**Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b>
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\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity
<p>1. <b>Identify the entity</b></p> <p>2. <b>Identify the account</b></p> <p>3. <b>Identify the amount</b></p> <p>4. <b>Identify the date</b></p> <p>5. <b>Identify the description</b></p>	<p>1. <b>Identify the entity</b></p> <p>2. <b>Identify the account</b></p> <p>3. <b>Identify the amount</b></p> <p>4. <b>Identify the date</b></p> <p>5. <b>Identify the description</b></p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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1051	130	2051	65	Surcharge - late filing fee or oath or declaration
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130	Non-English specification
1812	2,520	21812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	21804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	21805	1,840*	Requesting publication of SIR after Examiner action
1251	120	2251	60	Extension for reply within first month
1252	450	2252	225	Extension for reply within second month
1253	1020	2253	510	Extension for reply within third month
1254	1,590	2254	795	Extension for reply within fourth month
1255	2,160	2255	1,080	Extension for reply within fifth month
1401	500	2401	250	Notice of Appeal
1402	500	2402	250	Filing a brief in support of an appeal
1403	1000	2403	500	Request for oral hearing
1451	1,510	2451	1,510	Petition to institute a public use proceeding
1452	500	2452	250	Petition to revive - unavoidable
1453	1,500	2453	750	Petition to revive - unintentional
1501	1,400	2501	700	Utility issue fee (or reissue)
1502	800	2502	400	Design issue fee
1503	1100	2503	550	Plant issue fee
1460	—	2460	—	Petitions to the Director
1807	50	21807	50	Processing fee for Provisional Applications
1806	180	21806	180	Submission of Information Disclosure Stmt
8021	40	28021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	2802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

<b>SUBTOTAL (3)</b>	<b>(\$)</b> 180.00
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## SUBMITTED BY

Name (Print/Type)

**Sabra-Anne Truesdale**

Registration No. (Attorney/Agent)	55,687
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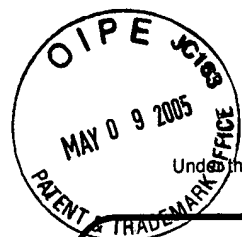
Complete (if applicable)

Telephone (650) 335-7187

**Signature**

Date \_\_\_\_\_

5-5-05



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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	09/526,980
				Filing Date	March 15, 2000
				First Named Inventor	Peter Newman
				Art Unit	2155
				Examiner Name	David Y. Eng
Sheet	1	of	1	Attorney Docket Number	21816-04467

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,647,422	11-2003	Wesinger et al.
	A2	US-6,725,456	04-2004	Bruno et al.
	A3	US-6,760,775	07-2004	Anerousis et al.
	A4	US-6,820,117	11-2004	Johnson, Christopher L.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.  
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.